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3738

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard B. Streeter et al.
Serial No.: 10/090,968
Filing Date: 03/05/2002
Title: APPARATUS AND METHOD FOR REDUCING MITRAL
REGURGITATION

Group Art Unit: 3738
Examiner: Brian E. Pellegrino
Attorney's Docket No.: VIA-17

Mail Stop Amendment
Commissioner for Patents
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August 10, 2006

(DATE OF DEPOSIT)

Margaret M. Slezak

(NAME OF ATTORNEY)

(SIGNATURE)

August 10, 2006

(DATE OF SIGNATURE)

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby submit information which may be considered material to the examination of this application. This information is listed on the accompanying form.

Documents 1-3, which are listed on the accompanying form, PTO/SB/08A (one page), have not been submitted since submission of copies of U.S. patents and U.S. patent applications is no longer required under 37 CFR 1.98.

A copy of document 4-6, which are also listed on PTO/SB/08A (one page), are enclosed herewith.

Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of PTO/SB/08A (one page), submitted herewith, as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

VIA-17

The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

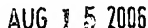
This Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination under 37 CFR 1.114. No certification or fee is believed to be required, however, should the Examiner determine that any fees are due in connection with this submission, please charge the same to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

Respectfully submitted,



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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet 1

of 1

Complete if Known

Application Number	10/090,968
Filing Date	03/05/2002
First Named Inventor	Richard B. Streeter
Art Unit	3738
Examiner Name	Brian E. Pellegrino
Attorney Docket Number	VIA-17

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
	4	DE 101 61 543 A1	06-26-2003	Reitan, O.	
	5	WO 02/062263 A2	08-15-2002	Viacor, Inc.	
	6	WO 98/22159 A2	05-28-1998	Medtronic Instent, Inc.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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